

30203/38232

JULY  
2184  
16-04



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s):	)
Evren Eryurek et al.	)
Serial No.: 10/087,308	)
Title: "Data Sharing in a Process Plant"	)
Filed: March 1, 2002	)
Group Art Unit: 2184	)
Examiner: To Be Determined	)

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on July 19, 2002 in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231

*Roger A. Heppermann*  
Roger A. Heppermann  
Registration No.: 37,641

**INFORMATION DISCLOSURE STATEMENT**

**RECEIVED**

Commissioner for Patents  
Washington, D.C. 20231

JUL 25 2002

Sir:

Technology Center 2100

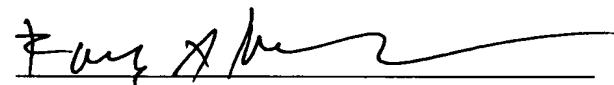
Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, the information identified on the enclosed Form PTO-1449 is disclosed to the Examiner for consideration in connection with the above-identified patent application. Copies of the art listed on the Form PTO-1449 are enclosed herewith.

This Information Disclosure Statement is being submitted before receipt of a first Office Action on the merits, and consequently should be considered by the Patent Office without payment of a fee. See 37 C.F.R. §1.97(b). However, please charge any necessary

fees due in connection with consideration of this Information Disclosure Statement to Deposit  
Account No. 13-2855. A copy of this paper is enclosed herewith.

Respectfully submitted,  
**MARSHALL, GERSTEIN & BORUN**  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
Tel.: (312) 474-6300  
Fax: (312) 474-0448

By:

  
Roger A. Heppermann  
Reg. No. 37,641

July 19, 2002

For PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 30203/38232	Serial No. 10/087,308
				Applicant	
				Evren Eryurek et al.	
				Filing Date March 1, 2002	Group 2184
<b>INFORMATION DISCLOSURE STATEMENT</b>					

U.S. PATENT DOCUMENTS						
*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
	4,527,271	07/02/85	Hallee et al.	371	20	
	4,607,325	08/19/86	Horn	364	151	
	4,657,179	04/14/87	Aggers et al.	236	51	
	4,734,873	03/29/88	Malloy, deceased et al.	364	571	
	4,763,243	08/09/88	Barlow et al.	364	200	
	4,764,862	08/16/88	Barlow et al.	364	200	
	4,885,694	12/05/89	Pray et al.	364	464.01	
	4,907,167	06/06/90	Skeirik	364	500	
	4,910,691	06/20/90	Skeirik	364	513	
	4,944,035	07/24/90	Aagardl Roger L. et al.	364	556	
	4,956,793	09/11/90	Bonne et al.	364	558	
	4,965,742	10/23/90	Skeirik	364	513	
	5,006,992	04/09/91	Skeirik	364	513	
	5,008,810	04/16/91	Kessel et al.	364	200	
	5,015,934	05/14/91	Holley et al.	318	611	
	5,018,215	05/21/91	Nasr et al.	382	15	
	5,043,863	08/27/91	Bristol et al.	364	165	
	5,050,095	09/17/91	Samad	364	513	
	5,070,458	12/03/91	Gilmore et al.	364	424.06	
	5,121,467	01/09/92	Skeirik	395	11	
	5,134,574	07/28/92	Beaverstock et al.	364	551.01	
	5,140,530	08/18/92	Guha et al.	395	13	
	5,142,612	08/25/92	Skeirik	395	11	
	5,161,013	11/03/92	Rylander et al.	358	160	
	5,167,009	11/24/92	Skeirik	395	27	
	5,187,674	02/16/93	Bonne	364	558	
	5,193,143	03/09/93	Kaemmerer et al.	395	51	
	5,197,114	03/23/93	Skeirik	395	22	
	5,212,765	05/18/93	Skeirik	395	11	
	5,224,203	06/29/93	Skeirik	395	22	
	5,282,261	01/25/94	Skeirik	395	22	
	5,291,190	03/01/94	Scarola et al.	340	825.06	
	5,301,101	04/05/94	MacArthur et al.	364	156	
	5,311,447	05/10/94	Bonne	364	509	
	5,333,298	07/26/94	Bland et al.	395	500	
	5,351,184	09/27/94	Lu et al.	364	165	
	5,353,207	10/04/94	Keeler et al.	364	164	
	5,369,599	11/29/94	Sadjadi et al.	364	516	
	5,373,452	12/13/94	Guha	364	550	

READING CENTER 2100  
 JUL 25 2002

For PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 30203/38232	Serial No. 10/087,308
				Applicant	
				Evren Eryurek et al.	
		Filing Date March 1, 2002	Group 2184		

**INFORMATION DISCLOSURE STATEMENT**

U.S. PATENT DOCUMENTS						
*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
	5,384,698	01/24/95	Jelinek	364	149	
	5,390,326	02/14/95	Shah	395	575	
	5,398,303	03/14/95	Tanaka	395	51	
	5,408,406	04/18/95	Mathur et al.	364	163	
	5,396,415	03/07/95	Konar et al.	364	162	
	5,442,544	08/15/95	Jelinek	364	149	
	5,486,920	01/23/96	Killpatrick et al.	356	350	
	5,486,996	01/23/96	Samad et al.	364	152	
	5,488,697	01/30/96	Kaemmerer et al.	395	51	
	5,537,310	07/16/96	Tanake et al.	364	151	
	5,541,833	07/30/96	Bristol et al.	364	165	
	5,546,301	08/13/96	Agrawal et al.	364	140	
	5,559,690	09/24/96	Keeler et al.	364	164	
	5,561,599	10/01/96	Lu	364	164	
	5,566,065	10/15/96	Hansen et al.	364	164	
	5,666,297	09/09/97	Britt et al.	364	578	
	5,764,891	06/09/98	Warrior	395	200.2	
	5,570,282	10/29/96	Hansen et al.	364	148	
	5,572,420	11/05/96	Lu	364	153	
	5,574,638	11/12/96	Lu	364	165	
	5,596,704	01/21/97	Geddes et al.	395	326	
	5,640,491	06/17/97	Bhat et al.	395	22	
	5,687,090	11/11/97	Chen et al.	364	496	
	5,692,158	11/25/97	Degeneff et al.	395	500	
	5,704,011	12/30/97	Hansen et al.	395	22	
	5,715,158	02/03/98	Chen	364	150	
	5,729,661	03/17/98	Keeler et al.	395	213	
	5,740,324	04/14/98	Mathur et al.	395	22	
	5,742,513	04/21/98	Bouhenguel et al.	364	492	
	5,761,518	06/02/98	Boehling et al.	395	821	
	5,777,872	07/07/98	He	364	149	
	5,781,432	07/14/98	Keeler et al.	364	164	
	5,790,898	08/04/98	Kishima et al.	395	899	
	5,796,609	08/18/98	Tao et al.	364	164	
	5,798,939	08/25/98	Ochoa et al.	364	493	
	5,805,442	09/08/98	Crater et al.	364	138	
	5,809,490	09/15/98	Guiver et al.	706	16	
	5,819,050	10/06/98	Boehling et al.	395	284	
	5,819,232	10/06/98	Shipman	705	8	
	5,825,645	10/20/98	Konar et al.	364	148	

RECEIVED

JUL 25 2002

Technology Center 2100

For PTO-1449 (Modified) JUL 23 2002 <b>INFORMATION DISCLOSURE STATEMENT</b> <small>PATENT &amp; TRADEMARK OFFICE U.S. DEPARTMENT OF COMMERCE</small>		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. <b>30203/38232</b>	Serial No. <b>10/087,308</b>
		Applicant		<b>Evren Eryurek et al.</b>	
		Filing Date <b>March 1, 2002</b>	Group <b>2184</b>		

U.S. PATENT DOCUMENTS						
*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
	5,826,249	10/20/98	Skeirik	706	25	
	5,842,189	11/24/98	Keeler et al.	706	16	
	5,847,952	12/08/98	Samad	364	148	
	5,859,773	01/12/99	Keeler et al.	364	164	
	5,859,964	01/12/99	Wang et al.	395	185.01	
	5,877,954	03/02/99	Klimasauskas et al.	364	149	
	5,892,679	04/06/99	He	364	149	
	5,892,939	04/06/99	Call et al.	395	500	
	5,898,869	04/27/99	Anderson	395	652	
	5,901,058	05/04/99	Steinman et al.	364	130	
	5,907,701	05/25/99	Hanson	395	671	
	5,909,370	06/01/99	Lynch	364	148.05	
	5,909,541	06/01/99	Sampson et al.	395	182.04	
	5,909,586	06/01/99	Anderson	395	750.08	
	5,917,840	06/29/99	Cheney et al.	371	53	
	5,918,233	06/29/99	La Chance et al.	707	104	
	5,924,086	07/13/99	Mathur et al.	706	25	
	5,940,290	08/17/99	Dixon	364	138	
	5,948,101	09/07/99	David et al.	713	2	
	5,949,417	09/07/99	Calder	345	342	
	5,960,214	09/28/99	Sharpe, Jr. et al.	395	835	
	5,960,441	09/28/99	Bland et al.	707	104	
	5,975,737	11/02/99	Crater et al.	364	138	
	5,984,502	11/16/99	Calder	364	188	
	5,988,847	11/23/99	McLaughlin et al.	364	138	
	6,008,985	12/28/99	Lake et al.	361	686	
	6,017,143	01/25/00	Eryurek et al.	364	148.06	
	6,033,257	03/07/00	Lake et al.	439	502	
	6,041,263	03/21/00	Boston et al.	700	32	
	6,047,221	04/04/00	Piche et al.	700	44	
	6,055,483	04/25/00	Lu	702	31	
	6,061,603	05/09/00	Papadopoulos et al.	700	83	
	6,067,505	05/23/00	Bonoyer et al.	702	85	
	6,076,124	06/13/00	Korowitz et al.	710	102	
	6,078,843	06/20/00	Shavit	700	48	
	6,093,211	07/25/00	Hamielec et al.	703	12	
	6,106,785	08/22/00	Havlena et al.	422	109	
	6,108,616	08/22/00	Borchers et al.	702	183	
	6,110,214	08/29/00	Klimasauskas	703	2	
	6,122,555	09/19/00	Lu	700	9	

RECEIVED  
 JUL 23 2002  
 TECHNOLOGY CENTER 2100

For PTO-1449 (Modified)

U.S. Department of Commerce  
Patent and Trademark Office**INFORMATION DISCLOSURE STATEMENT**

	Atty. Docket No. 30203/38232	Serial No. 10/087,308
	Applicant	
	Evren Eryurek et al.	
	Filing Date March 1, 2002	Group 2184

**U.S. PATENT DOCUMENTS**

*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate
	6,128,279	10/03/00	O'Neil et al.	370	229	
	6,266,726	07/24/01	Nixon et al.	710	105	
	6,298,377	10/02/01	Hartikainen et al.	709	223	
	6,298,454	10/02/01	Schleiss et al.	714	37	
	6,317,701	11/13/01	Pyötsiä et al.	702	188	
	6,332,110	12/18/01	Wolfe	702	22	

**RECEIVED**

JUL 25 2002

Technology Center 2100

**FOREIGN PATENT DOCUMENTS**

*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	U.S. Patent Application Serial Number 09/499,445 entitled "Diagnostic Expert in a Process Control System", which was filed on February 7, 2000.
	GE Industrial Systems PREDICTOR™ Services information found at <a href="http://www.GEindustrial.com">www.GEindustrial.com</a> .

Examiner	Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.